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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

Kenji Hito

Filed: October 23, 2001

Group Art Unit: 2161

MAY 1 4 2002 Technology Center 2100

Application No.: 10/047,531

10/04/,331

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Examiner: To be assigned

For:

INVESTING METHOD, DEVICE FOR INVESTING AND INVESTING SYSTEM

May 6, 2002

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, Applicant is filing this Information Disclosure Statement and the accompanying form PTO-1449 along with copies of the prior art therein identified.

Applicant submits that this submission is prior to the mailing of a first Office Action on the merits. Thus, no fee is believed due.

Respectfully submitted,

Mark Montague Reg. No. 36,612

COWAN, LIEBOWITZ & LATMAN, P.C. 1133 Avenue of the Americas New York, NY 10036-6799 (212) 790-9200



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on May 6, 2002

Date of Deposit

Mark Montague
Attorney

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Signaturé

May 6, 2002

Date of Signature

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 03-3415.

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INFORMATION DISCLOSURE	Application Number	10/047,531
INFORMATION DISCLOSURE	Filing Date	October 23, 2001
STATEMENT BY APPLICANT	First Named Inventor	Kenji Hito
(van an manu sharda mananand	Group Art Unit	2161
(use as many sheets as necessary)	Examiner Name	To be assigned

Attorney Docket Number

24530-00

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Sheet

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				U.S. PATENT DOC	UMENTS	
Examiner Initials*	Cite No.1	U.S. Patent	Kind Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,913,20		Motoyama	06/15/1999	
		5,884,2		Daughtery, III	03/16/1999	
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				FOR	EIGN PATENT DOCUMEN	TS		
Examiner Initials*	Cite No.1	Office ³	Foreign Patent Do	cument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	14
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			TRADEN	Application Number	10/047,531
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STA	TEMENT!	BY A	APPLICANT	First Named Inventor	Kenji Hito
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	(use as many	sheets a	as necessary)	Examiner Name	To be assigned
Sheet	2	of	2	Attorney Docket Number	24530-00

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		NIKKEI MONEY DIGITAL; 5/1998 NEWS			
		Trust Bank Asset Management Study Group Asset Management Handbook			
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